



Symphony Risk Intelligence

Always-on Compliance[™]

AGENT NATIVE RISK & COMPLIANCE PLATFORM

Start your journey from reactive to proactive risk management

Legacy compliance stacks built on a patchwork of integrations are wasting your resources. Static controls, manual processes, fragmented data, and siloed teams create massive inefficiencies. And while technology has improved incrementally over the years, the compliance operating model has remained largely unchanged. It's time to reinvent compliance.

Symphony Risk Intelligence (SRI) delivers Always-On Compliance™; a modern approach where compliance programs stay current by design as regulations, threats, and business activity change. SRI combines unified risk intelligence with end-to-end agentic orchestration to turn risk signals into decisions and decisions into action. Radically reduce the cost and effort of your operations and transform compliance from a reactive cost centre to a proactive growth enabler.



Weeks

TO VALUE,
NOT MONTHS

>90%

AGENT / INVESTIGATOR
ALIGNMENT

90%

FEWER FALSE
POSITIVES

70%

FASTER CASE
RESOLUTION

6x

INVESTIGATION
PRODUCTIVITY



Ontology-driven data layer

Transform fragmented data into a unified, living source of truth. SRI's FinCrime Ontology maps disparate data into a shared structure that continuously enriches, resolves entities, and maintains context across customers, transactions, and risk signals.

This creates a consistent, governed foundation where data is always current, connected, and ready to be used; linking previously hidden relationships and making complex risk patterns visible in real time. Unified risk context powers smarter outcomes across the entire compliance lifecycle:

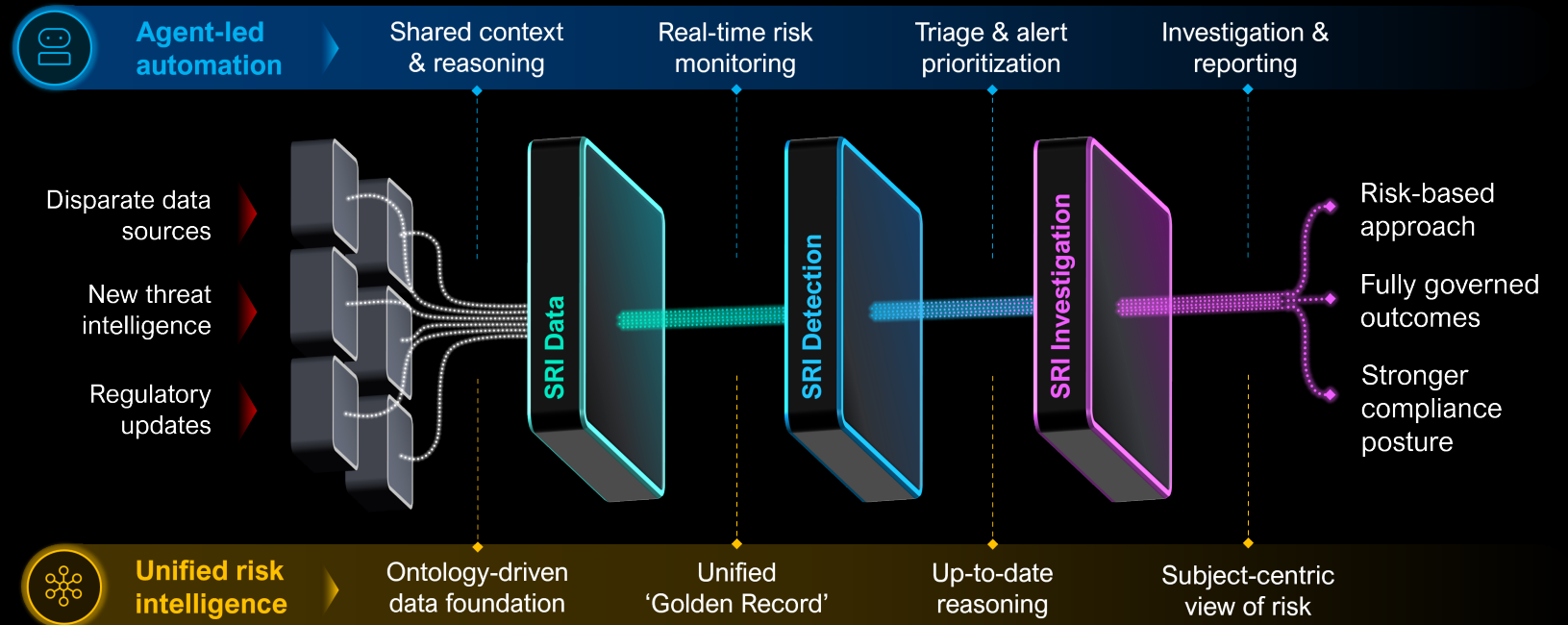
- **Detection models** continuously improve with up-to-date reasoning, reducing false positives and closing coverage gaps.
- **Agents** operate with shared reasoning, driving faster, more consistent decisions and automating complex manual processes.
- **Investigations** become truly subject-centric, anchored on a complete customer "golden record" and enabling a risk-based approach to compliance programs.

SRI Governance Framework

Embed oversight across every layer of SRI, from data ingestion and model management through to investigation and reporting. This is not a bolt-on control layer; this is foundational platform architecture. As AI and agentic automation take on greater responsibility across compliance operations, the confidence to move faster comes

from knowing every decision is explainable, every action traceable, and every outcome fully defensible. When regulators ask questions, the answers are already there. Complete audit trails, documented reasoning, and justified actions across every process mean scrutiny becomes routine, not a crisis.

Unified risk intelligence. AI-led execution.



SRI Agent Management

SRI delivers end-to-end agentic orchestration across compliance operations, intelligently automating many of the manual, time-intensive processes that drive operational cost and risk. Agents operate with shared context drawn from the FinCrime Ontology layer, ensuring every action is grounded in a unified, entity-centric view of risk across all domains.

Embedded governance ensures every agent action is explainable, traceable, and operates within defined policy boundaries. Through SRI Agent Management, you control precisely how much autonomy agents are given across each workflow. A configurable slider of autonomy allows you to begin with agents that assist and accelerate human decision-making, progressively expanding automation as confidence and trust are established.

Human-in-the-loop controls ensure oversight is maintained at every critical step, giving you the confidence to scale AI-led compliance operations without compromising control or regulatory defensibility.

Built for compliance complexity

Designed specifically to tackle the most complex and demanding compliance workflows, from alert triage and investigation to SAR generation and regulatory monitoring, SRI agents go far beyond what general-purpose agents can offer. Where manual processes create the greatest operational bottlenecks, we build domain-specific agents to eliminate them entirely.

Agents connect to a world of data

SRI Agents can harness internal data from any system to automate tasks and generate insights. Their impact grows when connected to third-party APIs, giving them access to external data and tools to enrich investigations and validate information. Think of them as intelligent API orchestrators, dynamically calling the right systems as needed.

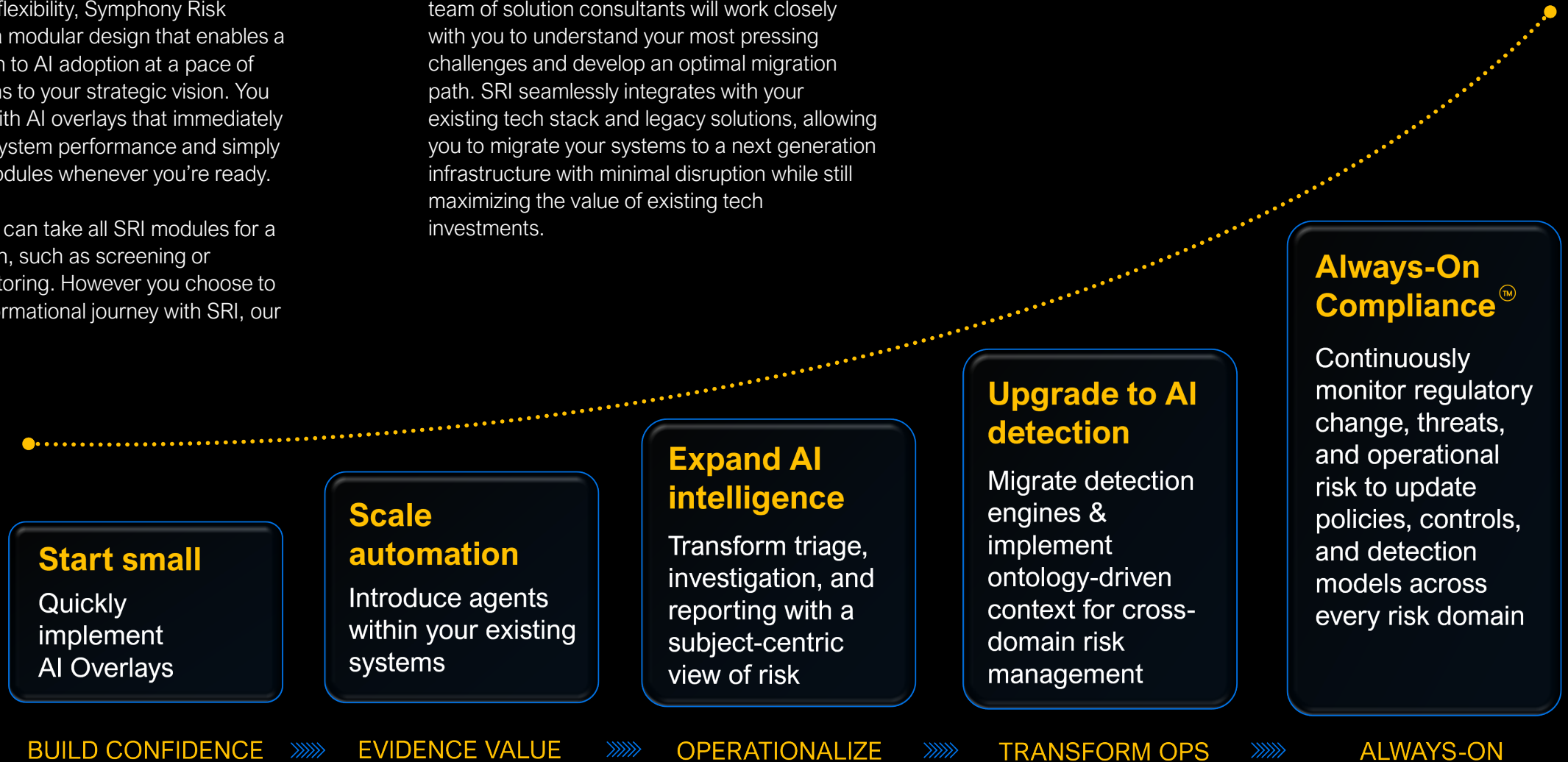


Your strategic roadmap to phased AI adoption

Built for ultimate flexibility, Symphony Risk Intelligence has a modular design that enables a phased approach to AI adoption at a pace of change that aligns to your strategic vision. You can start small with AI overlays that immediately improve legacy system performance and simply add more SRI modules whenever you're ready.

Alternatively, you can take all SRI modules for a single risk domain, such as screening or transaction monitoring. However you choose to start your transformational journey with SRI, our

team of solution consultants will work closely with you to understand your most pressing challenges and develop an optimal migration path. SRI seamlessly integrates with your existing tech stack and legacy solutions, allowing you to migrate your systems to a next generation infrastructure with minimal disruption while still maximizing the value of existing tech investments.



How SRI creates value

Close coverage gaps

Continuously monitor for regulatory change, threat intelligence, and operational risk, translating signals into governed updates across policies, controls, and detection logic so coverage stays current by design, not by periodic catch-up.

Compliance stays up to date as regulation and threats evolve.

Radically reduce effort & cost

Lower the cost of compliance by eliminating repetitive work and reducing alert noise. Agentic automation streamlines triage and casework, enabling teams to manage higher volumes with greater throughput, without adding headcount.

Significantly lower unit cost per alert & case

Unify data & risk intelligence

Unify fragmented customer and FinCrime data into an ontology-driven, entity-centric foundation so agent orchestration, detection models, and investigation workflows operate with consistent context across domains and business lines.

One reliable view of risk exposure

Regulator-ready AI

Adopt advanced AI with complete confidence thanks to the SRI Governance Framework. SRI embeds governance into every action, ensuring decisions are explainable, traceable, and fully auditable.

Innovate without introducing regulatory risk

Strategic agility at scale

Safely deploy new AI capabilities and scale operations at speed and at enterprise scale. SRI enables a phased approach to AI adoption so you can begin modernising incrementally today and extend confidently tomorrow.

Built on modular, evergreen architecture



Unify insights & Ops and manage all risk from a single pane of glass



Build long term risk intelligence with AI-driven insights on detection performance



Transform your ability to operationalize the latest AI tech of today and future innovation



Streamline operations with agent-led intelligent process automation



Enjoy zero-effort upgrades on an evergreen, cloud-native platform



Centralize all rules & AI models and benefit from exceptional MLOps & AI insights



Gain enterprise-grade security that will always align to robust industry standards



Take full control of your tech stack with powerful, AI-assisted BYO functionality

Learn how we can solve your most critical financial crime challenges

SymphonyAI is a global leader in AI-driven financial crime compliance, with more than 25 years of deep domain expertise and a proven track record deploying world-leading compliance solutions across some of the most complex and highly regulated financial institutions on earth.

A global presence combined with deep local compliance knowledge, SymphonyAI understands the operational and regulatory challenges that large-scale institutions face. At the forefront of AI innovation, SymphonyAI leads the market in applying agentic AI to solve the most demanding compliance challenges, combining cutting-edge technology with unrivalled domain expertise to deliver measurable, lasting impact.

For more information or to request a consultation, please visit symphonyai.com

